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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/836,613	04/17/2001	John Joseph Hopwood	2249/104	9830

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EXAMINER

RAO, MANJUNATH N

ART UNIT	PAPER NUMBER
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1652

DATE MAILED: 10/25/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/836,613	<b>Applicant(s)</b> HOPWOOD ET AL.	
	<b>Examiner</b> Manjunath N. Rao, Ph.D.	<b>Art Unit</b> 1652	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 August 2004.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 19-27, 29-31, 35, 36 and 60-64 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 19-27, 29-31, 35, 36 and 60-64 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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### **DETAILED ACTION**

Claims 19-27, 29-31, 35-36, 60-64 are currently pending and are present for examination.

Applicants' amendments and arguments filed on 8-11-04, have been fully considered and are deemed to be persuasive to overcome the rejections previously applied. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. Specifically, Examiner has withdrawn the previous rejection under 35 U.S.C. 112, 1<sup>st</sup> paragraph in view of claim amendments.

### ***Specification***

Examiner notes that applicants have not updated the relationship of the instant application to its parent application that has matured in to a US patent. Examiner urges applicants to amend said information by providing the US patent number in response to this Office action.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 20 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 20 recites the phrase "or other convenient means". The metes and bounds of the above phrase is not clear to the Examiner.

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In response to the previous Office action, applicants have traversed the above rejection arguing that those skilled in the art would know as to what are the "other convenient means" and therefore the phrase does not render the claim indefinite. Examiner respectfully disagrees with such an argument. Irrespective of what those skilled in the art arrive at the meaning of the above phrase, applicants have not made it clear either in their argument or in the specification as to what other means or methods are encompassed by the above phrase. Contrary to applicants argument, an ambiguity still exists as to what is the meaning of the above phrase. Therefore, Examiner maintains his argument that the above phrase renders the claim indefinite.

***Claim Rejections - 35 USC § 102/103***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 19-27, 29-31, 35-36, 60-64 rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Sasaki et al. (J. Biochem, 1991, Vol. 110:842-846). This rejection is based on the public availability of a printed publications reporting the purification of the above enzyme from various sources.

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Claims 19-27, 29-31, 35-36, 60-64 of the instant application are drawn to a recombinant,  $\alpha$ -N-glucosaminidase or a fragment of the same, expressed in mammalian cells, yeast or insect cells, wherein the mammalian cell is capable of N-glycosylating the enzyme, wherein the NAG enzyme is in a glycosylated form and has a molecular weight of at least 79kDa to 89kDa when determined by SDS/PAGE and wherein the amino acid sequence of the NAG is substantially the same as that of human NAG and wherein the amino acid sequence of said enzyme is as set forth in SEQ ID NO:2 or has at least 80% sequence identity to SEQ ID NO:2 and wherein the enzyme is produced by expression of a nucleic acid which encodes the enzyme or is complementary to a sequence encoding the enzyme and is carried in a vector capable of expression in a eukaryotic or prokaryotic cell, wherein the enzyme has an amino acid sequence that is 80% similar and encoded by a nucleic acid capable of hybridizing to SEQ ID NO:1 or 3 under high stringency conditions.

Sasaki et al. disclose the isolation and purification of the above enzyme. Sasaki et al. teach a 39,000 fold purification of a human  $\alpha$ -N-acetylglucosaminidase (NAG) from human liver. The reference teaches that the enzyme is 80 kDa size when tested by SDS/PAGE as well as other characteristics of the enzyme. The reference also teaches that a deficiency of the above enzyme is known as MPS IIIB or Sanfilippo B syndrome a severe neurodegenerative disorder in humans. However, the reference does not teach the recombinant form of the enzyme or a pharmaceutical composition comprising the enzyme. The reference does not disclose the amino acid sequence of the enzyme or the nucleotide encoding the enzyme as capable of hybridizing to SEQ ID NO:1 or 3 under high stringency conditions. However, Examiner takes the position that the enzyme disclosed in the reference and that claimed in the instant invention are inherently one

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and the same. Since the enzyme has been isolated from a source identical to that in the instant application, Examiner also takes the position that the glycosylation aspect, molecular weight and the amino acid sequence the nucleotide sequence which encodes the enzyme are all inherent characteristics and that the enzyme disclosed in the reference and that claimed are one and the same. Applicants have not done anything to said enzyme except to isolate the recombinant form of the purified enzyme in the reference. Examiner sees no material, structural or functional difference between the purified and the recombinant enzyme. Therefore, Examiner takes the position that Sasaki et al. anticipates claims 19-27, 29-31, 35-36, 60-64 as written based on inherency.

Since the Office does not have the facilities for examining and comparing applicants' protein with the protein of the prior art, the burden is on the applicant to show a novel or unobvious difference between the claimed product and the product of the prior art (i.e., that the protein of the prior art does not possess the same material structural and functional characteristics of the claimed protein). See *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977) and *In re Fitzgerald et al.*, 205 USPQ 594.

Or in the alternative, the teachings of Sasaki et al. combined with the common knowledge in the art regarding recombinant DNA technology renders the claims 19-31, 35-36, 60-66 obvious for the following reasons. The reference not only provides a purified NAG enzyme but also clearly identifies the important role the enzyme plays in the inherited disease known as mucopolysaccharidosis IIIB. Using the purified enzyme provided in the above reference, it would have been obvious to those skilled in the art to obtain its amino acid sequence information by amino acid sequencing and isolate a cDNA clone from a cDNA library and the

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recombinant form of the enzyme or as a fusion protein fused to an affinity tag and expressed in any of the host cells including insect cells or CHO cells using the isolated cDNA in a vector as is well known in the art. Using such recombinant enzyme it would also have been obvious to those skilled in the art to make pharmaceutical compositions comprising the enzyme for treating the deficiency disorder. One of ordinary skill in the art would have been motivated to do so because a purified protein can be made in large amounts when obtained in the recombinant form.

Furthermore, as the above reference teaches that a deficiency of the above enzyme leads to MPS IIIB disorder, it would have been obvious to those skilled in the art to provide the recombinant enzyme as a pharmaceutical composition for enzyme replacement therapy to those affected by the above disorder. One of ordinary skill in the art would have a reasonable expectation of success since the above reference provides the purified enzyme and also teaches its role in MPS IIIB disorder and the art provides the methods to make a recombinant protein or a pharmaceutical composition comprising the same.

Therefore, Sasaki et al. render the above invention *prima facie* obvious to those skilled in the art.

In response to the previous Office action, applicants have traversed the above rejection arguing at length. To summarize, applicants argue that the instant invention can be distinguished from that of the reference on the following lines a) source of the enzyme, b) molecular weight of the enzyme. In response to the obviousness part of the rejection, applicant argues that “in a rejection of product or composition claims for obviousness, the issue is “the obviousness of the claimed compositions, not of the method by which they are made” and that the reference does not provide either the amino acid sequences or the nucleotide sequences.

Examiner respectfully disagrees with all the above arguments. Applicant's argument that the source of the enzyme in the instant invention and that in the reference is different is highly misplaced. Contrary to applicant's argument, Examiner reiterates that the source of reference enzyme and that of the instant invention is one and the same and that is humans. Applicant's conclusion that the enzyme from the liver would be different from the enzyme isolated from placenta or leukocytes is highly misplaced, unless they can provide a reference to show the same. Without such a reference there is no scientific reason to believe that the enzymes from different organs of the same organism would be different. The argument regarding the molecular weight is also highly misplaced. This is because the difference in the molecular weights between that claimed enzyme and that in the reference is not significant. Furthermore, it is well known in the art that there is always a slight variation during molecular weight determinations. The claimed molecular weight is "about 89 kDa" and "about 79 kDa", while the molecular weight recited in the reference is "about 82 kDa" and "about 77 kDa". It can be readily seen that the differences in the molecular weight are not significant and concluded that it is due to experimental error.

Next Examiner reminds applicants that his rejection is based on "inherency" and therefore, the requirement that the reference must disclose the amino acid sequence does not apply. Examiner has argued that characteristics such as amino acid sequence and other physical characteristics are inherent to a given protein or the enzyme. Furthermore, applicants have not done anything except to obtain a purified protein as a recombinant protein. Applicants have not shown a material, structural or functional difference/s between the purified enzyme and the recombinant enzyme. Absent such information, the purified protein inherently possesses all the



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characteristics of the recombinant enzyme even though the reference is not explicit about those characteristics.

In response to applicant's argument that "in a rejection of product or composition claims for obviousness, the issue is the obviousness of the claimed compositions, not of the method by which they are made" and that the reference does not provide either the amino acid sequences or the nucleotide sequences, Examiner concludes that such arguments are again highly misplaced. This is because Examiner has not based his rejection on a method but argued as to how it would have been obvious to those skilled in the art to arrive at a recombinant enzyme. Furthermore, Examiner has based his rejection on inherency, i.e., the reference enzyme inherently possesses all the characteristics of the claimed enzyme. Applicants have never argued or shown as to how the claimed enzyme is "inherently" different from the enzyme in the reference. Unless they show a material, functional or structural difference between the claimed enzyme and the enzyme in the reference Examiner continues to maintain the above rejection.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

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***Conclusion***

None of the claims are allowable.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Manjunath N. Rao, Ph.D. whose telephone number is 571-272-0939. The Examiner can normally be reached on 7.00 a.m. to 3.30 p.m. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, Ponnathapura Achutamurthy can be reached on 571-272-0928. The fax phone numbers for the organization where this application or proceeding is assigned is 703-872-9306/9307 for regular communications and for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-1600.

A handwritten signature in black ink, appearing to read "Manjunath N. Rao". The signature is stylized with a large initial "M" and a long horizontal stroke.

Manjunath N. Rao, Ph.D.  
Primary Examiner  
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October 20, 2004